Learning Graph-based Code Representations for Source-level Functional Similarity Detection

Jiahao Liu^{*}, Jun Zeng^{*}, Xiang Wang, and Zhenkai Liang

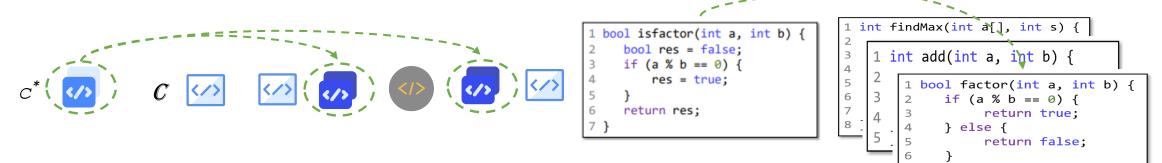
IEEE/ACM ICSE, May 2023



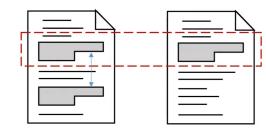


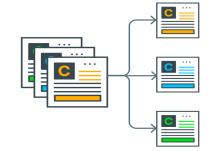
Code Functional Similarity Detection

Given a code fragment c^* , and a corpus of code fragments $\mathbb{C} = \{c_1, c_2, ...\}$, how to *identify* candidates in \mathbb{C} that are *functionally similar* with c^* ?



The cornerstone of various software engineering tasks:





Code clone detection

Code classification



Code search

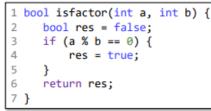


Bug detection

Previous Solutions Detecting Code Similarity

Token-based methods [Sourcerercc @ICSE'16, NIL @FSE'21, ...]

• Lack of program structures \rightarrow textually different yet structurally similar codes



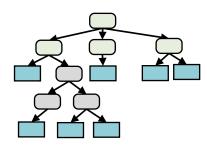
bool isfactor int a int b bool res = ...

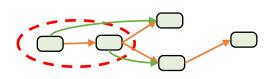
Tree-based methods [ASTNN @ICSE'19, InferCode @ICSE'21, ...]

• Agnostic to program semantics \rightarrow semantically similar programs with different syntax

Graph-based methods [Deepsim @FSE'18, ...]

• Focus on local information \rightarrow lack of overall graph structure



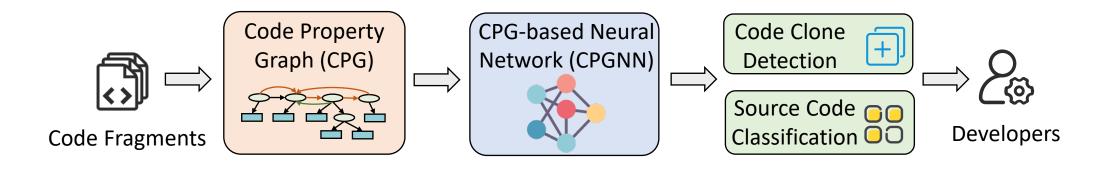


Our Insights

- Which code representations should be used to comprehend programs?
 - Abstract Syntax Tree (AST) well describes program syntax; Control Flow Graph (CFG) and Data Flow Graph (DFG) carry semantic information
 - Combining AST, CFG, and DFG together as Code Property Graph (CPG) benefits program understanding
- How to capture useful information from CPG for similarity detection?
 - Graph neural network (GNN) is powerful at capturing graph-structure features
 - GNN is not originally designed for program analysis
 - Tailor a GNN to learn graph-based code representation for similarity detection

Goal: Customize a Graph Neural Network on Code Property Graph to facilitate functional similarity detection

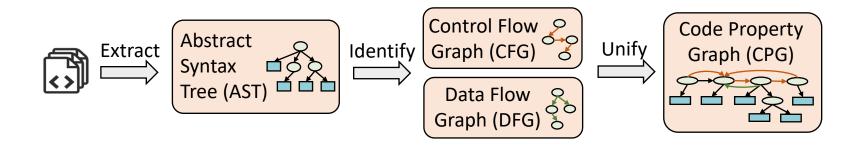
TAILOR: Overview



- Transform code fragments into *code property graph* (CPG)
- Model code representations with GPG-based Neural Network (CPGNN)
- Detect *code functional similarity* (code clone and classification)

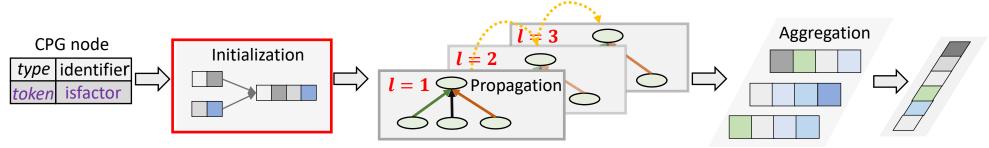
Code Property Graph (CPG)

Code property graph (CPG) integrates *abstract syntax tree* (AST), *control flow graph* (CFG), and *data flow graph* (DFG)



- Extract AST as the basis for generating other code representations
- Identify CFG by analyzing control flows within and across functions
- Identify use-def variables in code statements to form data-flow edges
- Unify AST, CFG, and DFG into a joint CPG, whose nodes are the same with AST nodes, and edges include AST, CFG, DFG edges

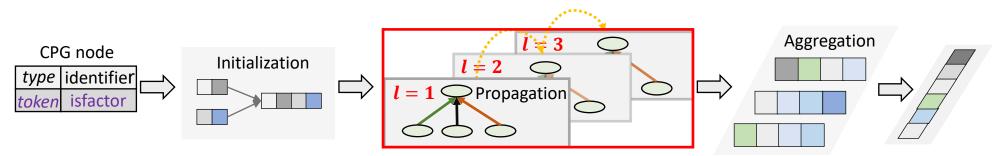
Key Idea: design a graph neural network (CPGNN) tailored to learn code representations for functional similarity detection



- Fuse type and token features to represent CPG nodes
 - Employ word2vec to embed type and token symbols into the vector space
 - Concatenate type and token embeddings to generate CPG node embedding



Key Idea: design a graph neural network (CPGNN) tailored to learn code representations for functional similarity detection

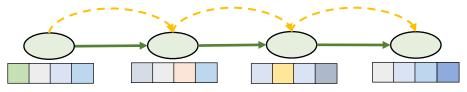


- **Propagate** node embeddings along CPG edges to learn graph structures
 - Enrich node semantics and contexts with graph structure, e.g., how variables are defined and used

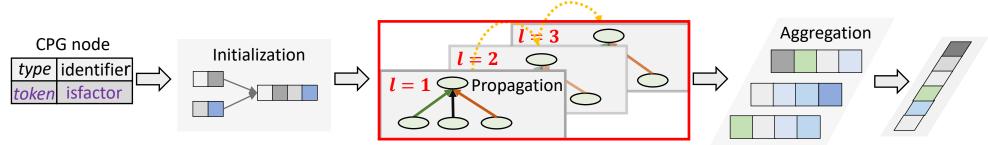


• Propagate neighbor information along CPG paths





Key Idea: design a graph neural network (CPGNN) tailored to learn code representations for functional similarity detection

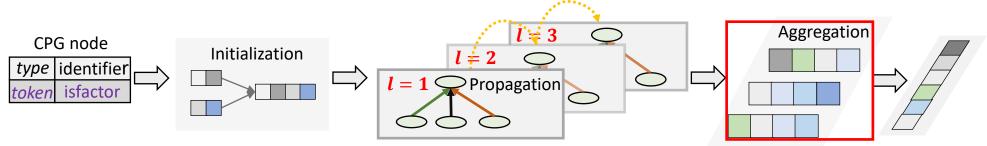


- Update node embeddings with neighbor information
 - Recent studies apply GRU from off-the-shelf GGNN [Devign @NeurIPS'19]
 - Limitation: Increase training difficulty and decrease GNN's effectiveness
 - Solution: Concatenation with a trainable matrix



1-hop Embedding

Key Idea: design a graph neural network (CPGNN) tailored to learn code representations for functional similarity detection



- *Stack* multi-hop neighbor information
 - Neighbor information at different hops describes global graph structure
 - Concatenate multi-hop neighbor embeddings to generate final node representation



Learning to Code Similarity Detection

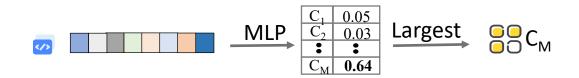
To produce neural representations for a code fragment, we combine its CPG nodes using the pooling operation

• Code clone detection (CCD): calculate Euclidean distance of code representations to infer clone pairs



Code clone detection (CCD)

 Source code classification (SCC): apply fully connected layers (MLP) to classify it into different categories



Source code classification (SCC)

Evaluation

Experiment Setup:

- OJClone constructed from 52,000 C programs belonging to 104 tasks
 - Code Clone Detection (CCD): 19,800 clone pairs, 300,000 non-clone pairs
 - Source Code Classification (SCC): all 52,000 programs
- BigCloneBench, constructed from 25,000 Java systems
 - Code Clone Detection (CCD): 71,677 clone pairs and 20,000 non-clone pairs

Evaluation aspects:

- How effective is TAILOR in code clone detection and classification?
- How does CPGNN contribute to TAILOR compared with off-the-shelf GNNs?
- To what extent do different design choices of CPGNN affect TAILOR's performance?

Effectiveness in Functional Similarity Detection

Compare TAILOR with state-of-the-art approaches on code clone detection (*F*-score) and source code classification (*Accuracy*)

Code Clone Detection (CCD)

| | Token-bas | ed | | Tree-based | | Graph-based | | | | | |
|---------|-------------|------|-------|------------|-------|-------------|--------|----------|--------|--|--|
| Dataset | SourcererCC | NIL | RtvNN | Code2Vec | ASTNN | FCDetector | FA-AST | Mocktail | TAILOR | | |
| OJClone | 16.4 | 54.3 | 69.4 | 85.2 | 95.1 | 91.8 | / | 94.5 | 99.9 | | |
| ВСВ | 57.9 | 66.1 | 83.7 | 93.0 | 97.2 | / | 98.5 | / | 99.8 | | |

Source Code Classification (SCC)

| | | Tree-based | | based | |
|---------|----------|------------|-------|----------|--------|
| Dataset | Code2Vec | InferCode | ASTNN | Mocktail | TAILOR |
| OJClone | 64.2 | 93.0 | 97.9 | 85.5 | 98.3 |

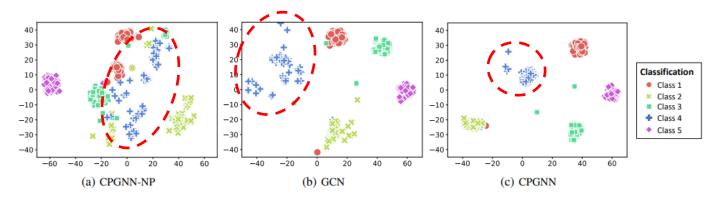
TAILOR achieves state-of-the-art performance in functional similarity detection

Comparison of Different GNNs

• Compare different off-the-shelf GNN variants on OJClone

| Task | Metric | CPGNN-NP | LightGCN | GCN | GGNN | KGAT | CPGNN |
|------|----------|----------|----------|------|------|------|-------|
| CCD | F-score | 0.0 | 13.1 | 98.7 | 98.2 | 96.0 | 99.9 |
| SCC | Accuracy | 72.0 | 79.6 | 97.6 | 96.7 | 95.2 | 98.3 |

• Visualize code representations produced by CPGNN-NP, GCN, and CPGNN



CPGNN shows the strongest capability to model code representations

Evaluating the Design of CPGNN

Investigate the effect of embedding **initialization**, CPG **representation**, and CPGNN **layer number** (A=AST, C=CFG, D=DFG)

| | | | Embec | Embedding Initialization | | | Code Representation | | | | CPGNN Layer | | |
|------|----------|---------|-------|--------------------------|------|------|---------------------|------|-------|------|-------------|------|--|
| Task | Metric | Dataset | Туре | Token | Comb | А | A+C | A+D | A+C+D | 1 | 3 | 5 | |
| CCD | F-score | OJClone | 99.6 | 99.6 | 99.9 | 99.4 | 99.8 | 99.8 | 99.9 | 98.9 | 99.5 | 99.9 | |
| CCD | F-score | BCB | 99.5 | 99.7 | 99.8 | 99.4 | 99.6 | 99.6 | 99.8 | 99.5 | 99.6 | 99.8 | |
| SCC | Accuracy | OJClone | 97.7 | 97.8 | 98.3 | 97.9 | 98.1 | 98.0 | 98.3 | 96.4 | 97.6 | 98.3 | |

TAILOR achieves the best performance (F-score in CCD, Accuracy in SCC):

• Node type and token both **contribute** to learn code representations

Evaluating the Design of CPGNN

Investigate the effect of embedding **initialization**, CPG **representation**, and CPGNN **layer number** (A=AST, C=CFG, D=DFG)

| | | | Embeo | Embedding Initialization | | | Code Representation | | | | CPGNN Layer | | |
|------|----------|---------|-------|--------------------------|------|------|---------------------|------|-------|------|-------------|------|--|
| Task | Metric | Dataset | Туре | Token | Comb | А | A+C | A+D | A+C+D | 1 | 3 | 5 | |
| CCD | F-score | OJClone | 99.6 | 99.6 | 99.9 | 99.4 | 99.8 | 99.8 | 99.9 | 98.9 | 99.5 | 99.9 | |
| CCD | F-score | BCB | 99.5 | 99.7 | 99.8 | 99.4 | 99.6 | 99.6 | 99.8 | 99.5 | 99.6 | 99.8 | |
| SCC | Accuracy | OJClone | 97.7 | 97.8 | 98.3 | 97.9 | 98.1 | 98.0 | 98.3 | 96.4 | 97.6 | 98.3 | |

TAILOR achieves the best performance (F-score in CCD, Accuracy in SCC):

- Node type and token both **contribute** to learn code representations
- Code property graph (A+C+D) provides a **comprehensive** view of programs

Evaluating the Design of CPGNN

Investigate the effect of embedding **initialization**, CPG **representation**, and CPGNN **layer number** (A=AST, C=CFG, D=DFG)

| | | | Embedding Initialization | | | Code Representation | | | | CPGNN Layer | | |
|------|----------|---------|--------------------------|-------|------|---------------------|------|------|-------|-------------|------|------|
| Task | Metric | Dataset | Туре | Token | Comb | Α | A+C | A+D | A+C+D | 1 | 3 | 5 |
| CCD | F-score | OJClone | 99.6 | 99.6 | 99.9 | 99.4 | 99.8 | 99.8 | 99.9 | 98.9 | 99.5 | 99.9 |
| CCD | F-score | BCB | 99.5 | 99.7 | 99.8 | 99.4 | 99.6 | 99.6 | 99.8 | 99.5 | 99.6 | 99.8 |
| SCC | Accuracy | OJClone | 97.7 | 97.8 | 98.3 | 97.9 | 98.1 | 98.0 | 98.3 | 96.4 | 97.6 | 98.3 |

TAILOR achieves the best performance (F-score in CCD, Accuracy in SCC):

- Node type and token both **contribute** to learn code representations
- Code property graph (A+C+D) provides a **comprehensive** view of programs
- Including multi-hop neighbors is **beneficial** to understand programs

Conclusion

- We propose TAILOR:
 - Learn high-quality graph-based code representations
 - Detect code functional similarity (code clone detection & source code classification)
- Insights:
 - CPG carries essential information to present program syntax and semantics
 - Customize a GNN to learn graph-base code representations by propagating node information along CPG structures

